

企業管治及其他資料

主要股東之權益

於二零一五年六月三十日，根據證券及期貨條例第336條規定本公司須予保存之登記冊所記錄，下列人士(本公司之董事及主要行政人員除外)已根據證券及期貨條例第XV部第2及3分部向本公司披露擁有本公司之股份、相關股份及債券之權益及淡倉如下：

名稱	股份權益總額	(好/淡/ 可供借出股份)*	佔權益總額之 概約百分比
FIL Limited ⁽¹⁾	123,361,500	(好)	6.73%
FMR LLC ⁽²⁾	144,896,275	(好)	7.91%
JPMorgan Chase & Co. ⁽³⁾	143,616,643	(好)	7.84%
	470,000	(淡)	0.03%
	127,260,082	(可供借出股份)	6.95%
Schroders Plc ⁽⁴⁾	146,795,026	(好)	8.01%

* (好/淡/可供借出股份)指(好倉/淡倉/可供借出股份)

附註：

(1) FIL Limited以投資經理身份持有123,361,500股股份。

(2) FMR LLC持有本公司股份權益之分析如下：

名稱	備註	股份權益總額		佔權益之 概約百分比
		直接持有之權益	被視為其 持有之權益	
FMR LLC	(2a)	—	144,896,275	7.91%
FIDELITY MANAGEMENT & RESEARCH (HONG KONG) LIMITED	(2b)	—	11,193,500	0.61%
FIDELITY MANAGEMENT & RESEARCH (JAPAN)	(2b)	—	968,000	0.05%
FIDELITY MANAGEMENT & RESEARCH (U.K.)	(2b)	—	41,845,500	2.28%
FMR CO.	(2b)	—	28,425,000	1.55%
PYRAMIS GLOBAL ADVISORS TRUST COMPANY	(2b)	—	35,869,775	1.96%
PYRAMIS GLOBAL ADVISORS, LLC	(2b)	—	26,594,500	1.45%

備註：

(2a) FMR LLC以投資經理身份持有144,896,275股好倉股份。

(2b) FIDELITY MANAGEMENT & RESEARCH (HONG KONG) LIMITED、FIDELITY MANAGEMENT & RESEARCH (JAPAN)、FIDELITY MANAGEMENT & RESEARCH (U.K.)、FMR CO.、PYRAMIS GLOBAL ADVISORS TRUST COMPANY及PYRAMIS GLOBAL ADVISORS, LLC全部均由FMR LLC直接或間接擁有，根據證券及期貨條例，FMR LLC被視為擁有該等附屬公司所持有股份之權益。

(3) JPMorgan Chase & Co. 持有本公司股份權益之分析如下：

名稱	備註	股份權益總額				佔權益之 概約百分比
		直接持有之權益	(好/淡)	被視為其 持有之權益	(好/淡/ 可供借出股份)	
JPMorgan Chase & Co.	(3a)	—	—	143,616,643	(好)	7.84%
		—	—	470,000	(淡)	0.03%
		—	—	127,260,082	(可供借出股份)	6.95%
J.P. Morgan Clearing Corp	(3b)	958,315	(好)	—	—	0.05%
		45,000	(淡)	—	—	0.00%
JF International Management Inc.	(3b)	211,000	(好)	—	—	0.01%
JF Asset Management Limited	(3b)	10,014,500	(好)	—	—	0.55%
JPMorgan Asset Management (Japan) Limited	(3b)	547,000	(好)	—	—	0.03%
J.P. Morgan Investment Management Inc.	(3b)	53,500	(好)	—	—	0.00%
J.P. Morgan Trust Company of Delaware	(3b)	9,290	(好)	—	—	0.00%
J.P. Morgan Whitefriars Inc.	(3b)	3,033,463	(好)	—	—	0.17%
J.P. Morgan Securities plc	(3b)	425,729	(好)	—	—	0.02%
		425,000	(淡)	—	—	0.02%
J.P. Morgan International Inc.	(3b)	—	—	3,459,192	(好)	0.19%
		—	—	425,000	(淡)	0.02%
J.P. Morgan Chase International Holdings	(3b)	—	—	425,729	(好)	0.02%
		—	—	425,000	(淡)	0.02%
CMC Holding Delaware Inc.	(3b)	—	—	9,290	(好)	0.00%
JPMorgan Chase Bank, N.A.	(3b)	128,363,846	(好)	—	—	7.01%
J.P. Morgan Capital Financing Limited	(3b)	—	—	425,729	(好)	0.02%
		—	—	425,000	(淡)	0.02%
J.P. Morgan Securities LLC	(3b)	—	—	958,315	(好)	0.05%
		—	—	45,000	(淡)	0.00%
J.P. Morgan Broker-Dealer Holdings Inc	(3b)	—	—	958,315	(好)	0.05%
		—	—	45,000	(淡)	0.00%
J.P. Morgan Capital Holdings Limited	(3b)	—	—	425,729	(好)	0.02%
		—	—	425,000	(淡)	0.02%
JPMorgan Asset Management Holdings Inc	(3b)	—	—	10,826,000	(好)	0.59%
JPMorgan Asset Management (Asia) Inc.	(3b)	—	—	10,772,500	(好)	0.59%
J.P. Morgan Chase (UK) Holdings Limited	(3b)	—	—	425,729	(好)	0.02%
		—	—	425,000	(淡)	0.02%
J.P. Morgan Overseas Capital Corporation	(3b)	—	—	3,033,463	(好)	0.17%
JPMorgan Chase Bank, N.A.	(3b)	—	—	3,459,192	(好)	0.19%
		—	—	425,000	(淡)	0.02%
J.P. Morgan Equity Holdings, Inc.	(3b)	—	—	9,290	(好)	0.00%
J.P. Morgan International Finance Limited	(3b)	—	—	3,459,192	(好)	0.19%
		—	—	425,000	(淡)	0.02%
Bank One International Holdings Corporation	(3b)	—	—	3,459,192	(好)	0.19%
		—	—	425,000	(淡)	0.02%

備註：

(3a) JPMorgan Chase & Co. 於紐約證券交易所上市。JPMorgan Chase & Co. 以受控法團身份分別持有 143,616,643 股好倉股份、470,000 股淡倉股份及 127,260,082 股可供借出股份。

(3b) J.P. Morgan Clearing Corp、JF International Management Inc.、JF Asset Management Limited、JPMorgan Asset Management (Japan) Limited、J.P. Morgan Investment Management Inc.、J.P. Morgan Trust Company of Delaware、J.P. Morgan Whitefriars Inc.、J.P. Morgan Securities plc、J.P. Morgan International Inc.、J.P. Morgan Chase International Holdings、CMC Holding Delaware Inc.、JPMorgan Chase Bank, N.A.、J.P. Morgan Capital Financing Limited、J.P. Morgan Securities LLC、J.P. Morgan Broker-Dealer Holdings Inc、J.P. Morgan Capital Holdings Limited、JPMorgan Asset Management Holdings Inc、JPMorgan Asset Management (Asia) Inc.、J.P. Morgan Chase (UK) Holdings Limited、J.P. Morgan Overseas Capital Corporation、J.P. Morgan Equity Holdings, Inc.、J.P. Morgan International Finance Limited、Bank One International Holdings Corporation 全部均由 JPMorgan Chase & Co. 直接或間接擁有，根據證券及期貨條例，JPMorgan Chase & Co. 被視為擁有該等附屬公司所持有股份之權益。

主要股東之權益(續)

附註：(續)

(4) Schroders Plc 持有本公司股份權益之分析如下：

名稱	備註	股份權益總額					佔權益之 概約百分比
		直接持有之權益	(好/淡)	被視為其 持有之權益	(好/淡)		
Schroders Plc	(4a)	—	—	146,795,026	(好)	8.01%	
Schroder Administration Limited	(4b)	—	—	146,795,026	(好)	8.01%	
Schroder International Holdings Limited	(4b)	—	—	117,104,000	(好)	6.39%	
Schroder Holdings (Bermuda) Limited	(4b)	—	—	117,104,000	(好)	6.39%	
Schroder International Holdings (Bermuda) Limited	(4b)	—	—	117,104,000	(好)	6.39%	
Schroder Investment Management Limited	(4b)	12,626,000	(好)	—	—	0.69%	
Schroder Investment Management Limited	(4b)	—	—	16,097,000	(好)	0.88%	
Schroder Investment Management North America Limited	(4b)	16,097,000	(好)	—	—	0.88%	
Schroder & Co. Limited	(4b)	—	—	968,026	(好)	0.05%	
Schroder & Co. (Asia) Limited	(4b)	399,026	(好)	—	—	0.02%	
Schroder Nederland Finance B.V.	(4b)	—	—	569,000	(好)	0.03%	
Schroder & Co Bank AG	(4b)	569,000	(好)	—	—	0.03%	
Schroder Investment Management (Singapore) Limited	(4b)	67,213,000	(好)	—	—	3.67%	
Schroder Investment Management (Hong Kong) Limited	(4b)	49,891,000	(好)	—	—	2.72%	

備註：

(4a) Schroders Plc 於倫敦證券交易所上市。Schroders Plc 以投資經理身份持有 146,795,026 股好倉股份。

(4b) Schroder Administration Limited、Schroder International Holdings Limited、Schroder Holdings (Bermuda) Limited、Schroder International Holdings (Bermuda) Limited、Schroder Investment Management Limited、Schroder Investment Management North America Limited、Schroder & Co. Limited、Schroder & Co. (Asia) Limited、Schroder Nederland Finance B.V.、Schroder & Co Bank AG、Schroder Investment Management (Singapore) Limited、Schroder Investment Management (Hong Kong) Limited 全部均由 Schroders Plc 直接或間接擁有，根據證券及期貨條例，Schroders Plc 被視為擁有該等附屬公司所持有股份之權益。

除上文所披露者外，於二零一五年六月三十日，概無其他人士擁有根據證券及期貨條例第 XV 部第 2 及 3 分部須向本公司披露於本公司之股份、相關股份及債券之權益或淡倉。